

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: Wednesday, 19 June
2019

My Ref:

Your Ref:

Committee:
Audit Committee

Date: Thursday, 27 June 2019
Time: 1.30 pm
Venue: Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,
Shropshire, SY2 6ND

You are requested to attend the above meeting.
The Agenda is attached

Claire Porter
Director of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Peter Adams (Chairman)
Ioan Jones
Chris Mellings

Brian Williams (Vice Chairman)
Michael Wood

Your Committee Officer is:

Michelle Dulson Committee Officer
Tel: 01743 257719
Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 Minutes of the previous meetings held on the 25 February 2019 and 16 May 2019 (Pages 1 - 10)

The Minutes of the meetings held on the 25 February 2019 and 16 May 2019 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14.

5 First Line Assurance: Digital Transformation Update

The report of the Director of Workforce and Transformation is to follow.

Contact: Michele Leith(01743) 254402

6 First Line Assurance: Commercial Strategy Update

The report of the Head of Business Enterprise and Commercial Services is to follow.

Contact: Tim Smith (01743) 258676

7 Second Line Assurance: Annual Whistleblowing Report (Pages 11 - 16)

Third Line The report of the Director of Workforce and Transformation is attached.

Contact: Michele Leith (01743) 254402

8 Third Line Assurance: Internal Audit Annual Report 2018/19 (Pages 17 - 42)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

9 Overall Assurance: Annual Governance Statement and a Review of the effectiveness of the Council's Internal Controls and Shropshire Council's Code of Corporate Governance 2018/19 (Pages 43 - 96)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.
Contact: James Walton (01743 258915)

10 Second Line Assurance: Financial Outturn Report 2018/19 (Pages 97 - 154)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.
Contact: James Walton (01743 258915)

11 Second Line Assurance: Approval of the Council's Statement of Accounts 2018/19 (Pages 155 - 168)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.
Contact: James Walton (01743 258915)

12 Third Line Assurance: Annual Review of Internal Audit, Quality Assurance and Improvement Programme (QAIP) 2018/19 (Pages 169 - 180)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.
Contact: James Walton (01743 258915)

13 Third Line Assurance: Annual Assurance Report of Audit Committee to Council 2018/19 (Pages 181 - 196)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.
Contact: James Walton (01743 258915)

14 Third Line Assurance: External Audit: Pension Fund Audit Plan 2018/19 (Pages 197 - 212)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

15 Third Line Assurance: External Audit: Audit Fee Letter (Pages 213 - 218)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

16 Third Line Assurance: External Audit: Audit Progress Report and Sector Update (Pages 219 - 232)

The report of the Engagement Lead is attached.
Contact: Richard Percival (0121) 232 5434

17 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on Tuesday 29 July 2019 at 1.30 pm.

18 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

19 Exempt Minutes of the previous meeting held on 25 February 2019 (Pages 233 - 234)

The Exempt Minutes of the meeting held on the 25 February 2019 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

20 First Line Assurance: Theatre Severn Update (Exempted by Categories 1, 2 and 3)

The report of the Interim Head of Culture and Heritage is to follow.
Contact: Clare Fildes (01743 255067)

21 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 1, 2, 3, 5 and 7) (Pages 235 - 238)

The report of the Principal Auditor is attached.
Contact: Peter Chadderton (01743) 257727