

Shropshire Council  
Legal and Democratic Services  
Shirehall  
Abbey Foregate  
Shrewsbury  
SY2 6ND

Date: 27 November 2019  
My Ref:  
Your Ref:

**Committee:**  
**Audit Committee**

**Date:** Thursday, 5 December 2019  
**Time:** 1.30 pm  
**Venue:** Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,  
Shropshire, SY2 6ND

You are requested to attend the above meeting.  
The Agenda is attached

Claire Porter  
Director of Legal and Democratic Services (Monitoring Officer)

**Members of Audit Committee**

Peter Adams (Chairman)  
Ioan Jones  
Chris Mellings

Brian Williams (Vice Chairman)  
Michael Wood

Your Committee Officer is:

**Michelle Dulson** Committee Officer  
Tel: 01743 257719  
Email: [michelle.dulson@shropshire.gov.uk](mailto:michelle.dulson@shropshire.gov.uk)

# AGENDA

## **1 Apologies for Absence / Notification of Substitutes**

## **2 Disclosable Pecuniary Interests**

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

## **3 Minutes of the previous meeting held on the 12 September 2019 (Pages 1 - 8)**

The Minutes of the meeting held on the 12 September 2019 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

## **4 Public Questions**

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 1.30pm on Tuesday 3 December 2019.

## **5 First line assurance: Commercial Strategy Update (Pages 9 - 12)**

The report of the Head of Business Enterprise and Commercial Services is attached.

Contact: Tim Smith (01743 258676).

## **6 First line assurance: Children's Direct Payments Update**

The report of the Interim Assistant Director Children's Social Care and Safeguarding is to follow.

Contact: Sonya Miller (01743 254264)

## **7 Second line assurance: Treasury Strategy Mid-Year Report 2019/20 (Pages 13 - 34)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

## **8 Second line assurance: Annual review of Counter Fraud, Bribery and Anti-Corruption Strategy and activities, including an update on the National Fraud Initiative (Pages 35 - 68)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

**9 Governance Assurance: Annual review of Audit Committee Terms of Reference (Pages 69 - 78)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

**10 Governance Assurance: Annual Audit Committee Self-Assessment (Pages 79 - 102)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

**11 Third line assurance: Internal Audit Charter (Pages 103 - 120)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski 01743 257739

**12 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2019/20 (Pages 121 - 138)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

**13 Third line assurance: External Audit, Audit progress report and sector update (Pages 139 - 152)**

The report of the Engagement Lead is attached.

Contact: Richard Percival (0121) 232 5434

**14 Date and Time of Next Meeting**

The next meeting of the Audit Committee will be held on Tuesday 25 February 2019 at 1.30 pm.

**15 Exclusion of Press and Public**

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

**16 Exempt minutes (Exempted by Categories 2, 3 and 7) (Pages 153 - 154)**

The exempt minutes of the meeting held on the 27 June 2019 are attached for

confirmation.

Contact Michelle Dulson (01743) 257719

**17 First line assurance: Theatre Severn update (Exempted by Categories 1, 2 and 3) (Pages 155 - 160)**

The exempt report of the Interim Head of Culture and Heritage is attached.

Contact: Clare Featherstone (01743) 255067

**18 Third line assurance: Highways Term Maintenance Contract Kier 2019/20 (Exempted by Category 5) (Pages 161 - 164)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

**19 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 165 - 170)**

The exempt report of the Principal Auditor is attached.

Contact: Peter Chadderton (01743) 257727