

Shropshire Council  
Legal and Democratic Services  
Shirehall  
Abbey Foregate  
Shrewsbury  
SY2 6ND

Date: Wednesday, 9 September  
2020

My Ref:

Your Ref:

**Committee:**  
**Audit Committee**

**Date:** Thursday, 17 September 2020

**Time:** 1.30 pm

**Venue:** THIS IS A VIRTUAL MEETING - PLEASE USE THE LINK ON THE  
AGENDA TO LISTEN TO THE MEETING

Members of the public will be able to listen to this meeting by clicking on this link:

[Link to Audit Committee meeting - 17 September 2020 1.30pm](#)

Please note that this meeting will be made available through Microsoft Teams Live Events  
- your device will need to meet the minimum specification as detailed on the Microsoft  
website at this link: [Device Specification](#)

- You will need to download MS Teams (free) and click on the link to listen to the meeting if you are using a PC
- If using a mobile device, you will need to download the MS Teams app (free) before clicking the link
- Use the link at 1.30 pm on the day of the meeting and click on 'Join as Guest'
- You may receive an error message or a request for login details if you try to gain access before 1.30 pm

You are requested to attend the above meeting.  
The Agenda is attached

Claire Porter  
Director of Legal and Democratic Services (Monitoring Officer)

**Members of Audit Committee**

Peter Adams (Chairman)

Ioan Jones

Chris Mellings

Brian Williams (Vice Chairman)

Michael Wood

Your Committee Officer is:

**Michelle Dulson** Committee Officer

Tel: 01743 257719

Email:

[michelle.dulson@shropshire.gov.uk](mailto:michelle.dulson@shropshire.gov.uk)

# AGENDA

## **1 Apologies for Absence / Notification of Substitutes**

## **2 Disclosable Pecuniary Interests**

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

## **3 Minutes of the previous meeting held on the 31 July 2020 (Pages 1 - 8)**

The Minutes of the meeting held on the 31 July 2020 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

## **4 Public Questions**

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 1.30pm on Tuesday 15 September 2020.

## **5 Member Questions**

To receive any questions of which Members of the Council have given notice. The deadline for notification for this meeting is 1.30pm on Tuesday 15 September 2020.

## **6 Second line assurance: Risk Annual Report 2019/20 incorporating Strategic Risks Update (Pages 9 - 16)**

The report of the Risk and Insurance Manager is attached.

Contact: Angela Beechey 01743 252073

## **7 Second line assurance: Annual Treasury Report 2019/20 (Pages 17 - 30)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton 01743 258915

## **8 Third line assurance: Internal Audit Performance Report and revised Annual Audit Plan 2020/21 (Pages 31 - 52)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski 01743 257739

## **9 Third line of assurance: External Audit: Audit progress report and sector update**

A verbal update will be given.

Contact: Richard Percival (0121) 232 5434

**10 Date and Time of Next Meeting**

The next meeting of the Audit Committee will be held on the 29 October 2020 at 1.30 pm.

**11 Exclusion of Press and Public**

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

**12 Exempt Minutes (Pages 53 - 54)**

The Exempt Minutes of the meeting held on the 31 July 2020 are attached for confirmation.  
Contact Michelle Dulson (01743) 257719.

**13 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 2, 3 and 7) (Pages 55 - 60)**

The report of the Principal Auditor is attached.  
Contact: Peter Chadderton (01743) 257727