

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 20 September 2023
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Thursday, 28 September 2023
Time: 10.00 am
Venue: Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate,
Shrewsbury, Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached

There will be some access to the meeting room for members of the press and public, but this will be limited. If you wish to attend the meeting please email democracy@shropshire.gov.uk to check that a seat will be available for you.

Please click [here](#) to view the livestream of the meeting on the date and time stated on the agenda

The recording of the event will also be made available shortly after the meeting on the Shropshire Council Youtube Channel [Here](#)

Tim Collard
Assistant Director – Legal and Governance

Members of Audit Committee

Simon Harris (Vice Chairman)
Nigel Lumby
Brian Williams (Chairman)

Roger Evans
Rosemary Dartnall

Independent Member: Jim Arnold

Your Committee Officer is:

Michelle Dulson Committee Officer

Tel: 01743 257719 Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must declare their disclosable pecuniary interests and other registrable or non-registrable interests in any matter being considered at the meeting as set out in Appendix B of the Members' Code of Conduct and consider if they should leave the room prior to the item being considered. Further advice can be sought from the Monitoring Officer in advance of the meeting.

3 Minutes of the previous meeting held on the 20 July 2023 (Pages 1 - 10)

The Minutes of the meeting held on the 20 July 2023 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 5pm on Friday 22 September 2023.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for this meeting is 5pm on Friday 22 September 2023.

6 First line assurance: Freedom of Information (FOI) Management Update (Pages 11 - 30)

The report of the Assistant Director – Legal and Governance is attached.

Contact: Tim Collard (01743) 252756

7 Governance assurance: AGS Action Plan 2023/24 Update (Pages 31 - 56)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

8 Third line assurance: Internal Audit Performance Report and revised Annual Audit Plan 2023/24 (Pages 57 - 76)

The report of the Head of Policy and Governance is attached.

Contact: Barry Hanson 07990 086409

9 Second line assurance: Approval of the Council's Statement of Accounts 2021/22 (Pages 77 - 80)

The report of the Executive Director of Resources (Section 151 Officer) is attached

Contact: James Walton (01743) 258915

10 Second line assurance: Approval of the Council's Statement of Accounts 2022/23 (Pages 81 - 84)

The report of the Executive Director of Resources (Section 151 Officer) is attached

Contact: James Walton (01743) 258915

11 Third line of assurance: External Audit: Shropshire Council Audit Plan 2022/23 (Pages 85 - 114)

The report of the Engagement Lead is attached.

Contact: Grant Patterson (0121) 232 5296

12 Third Line Assurance: External Audit: Informing the Audit Risk Assessment 2022/23 (Pages 115 - 152)

The report of the Engagement Lead is attached.

Contact: Grant Patterson (0121) 232 5296

13 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 23rd November 2023 at 10am.

14 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

15 Exempt minutes of the previous meeting held on the 20 July 2023 (Pages 153 - 156)

The exempt Minutes of the meeting held on the 20 July 2023 are attached for confirmation.

Contact: Michelle Dulson 01743 257719

16 Third line of assurance: External Audit Accounts Objection update

The exempt report of the Engagement Lead is to follow.

Contact: Grant Patterson (0121) 232 5296

17 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 1, 2, 3 and 7) (Pages 157 - 170)

The exempt report of the Internal Audit Manager is attached.

Contact: Katie Williams 07584 217067